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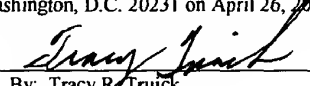
MAY 02 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE TECH CENTER 1600/2900

**Applicant:** Gary C. Starling and  
Joshua N. Finger  
**Examiner:** Not Yet Known  
**Serial No.:** 09/745,605  
**Group Art Unit:** 1644  
**Filed:** December 22, 2000  
**Docket No.:** 30436.43USU1  
**Title:** NOVEL IMMUNOGLOBULIN SUPERFAMILY MEMBERS OF APEX-1,  
APEX-2 AND APEX-3 AND USES THEREOF

CERTIFICATE UNDER 37 CFR 1.8:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on April 26, 2001.

  
By: Tracy R. Truick

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b)(3))

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. They are as follows:

- Hahn, W. C., *et al* 1993 in: *Lymphocyte Adhesion Molecules* pp. 105-132, ed. Y. Shimizu, R. G. Landes Company (Exhibit 71)
- Rhodes, C. A. *et al* (1995) *Methods Mol Biol* 55:121-131 (Exhibit 72)
- Scharf, D. *et al* (1994) *Results Probl Cell Differ* 20:125-62 (Exhibit 73)
- Winter J and Sinibaldi R M (1991) *Results Probl Cell Differ* 17:85-105 (Exhibit 74)
- Frohman, M.A., *et al* (1988) *Proc. Natl. Acad. Sci. USA* 85:8998-9002 (Exhibit 75)

This statement should be considered because it is submitted before the mailing date of the first Office Action on the merits under 37 C.F.R. § 1.97(b)(3). In accordance with 37 C.F.R. §1.98(a)(2), copies of each document or other information listed on the enclosed Form 1449 are provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that the references have been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Gary C. Starling et al.  
Serial No. 09/745,605  
Filed: December 22, 2000  
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No fee is deemed necessary in connection with the filing of this Information Disclosure Statement. However, if any additional fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 50-0306.

Respectfully submitted,

A handwritten signature in cursive script that reads "Sarah B. Adriano". The signature is written in dark ink and is positioned above the printed name and address.

Sarah B. Adriano  
Registration No. 34,470  
Attorney for Applicants  
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35 No. Arroyo Parkway, Suite 60  
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CERTIFICATE UNDER 37 CFR 1.8

I hereby certify that this paper or fee is being deposited with the United States Postal as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on April 26, 2001.

By:

Name: Tracy R. Truick

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing Certificate under 37 CFR 1.8.
- ☒ Supplemental Information Disclosure Statement (37 C.F.R. §1.97 (b)(3))
- ☒ Form 1449 (Information Disclosure Statement)
- ☒ Exhibits 71-75
- ☒ Return postcard

Please charge any additional fees or credit overpayment to Deposit Account No. 50-0306. A duplicate of this sheet is enclosed.

MANDEL & ADRIANO

35 No. Arroyo Parkway, Suite 60  
Pasadena, California 91103  
(626) 395-7801

By:

Name: Sarah B. Adriano  
Reg. No.: 34,470  
Initials: SBA  
Customer No. 26,941